Message Text

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PAGE 01 STATE 115413 ORIGIN EB-08

INFO OCT-01 IO-13 ISO-00 AF-10 ARA-10 EA-10 EUR-12 NEA-11 CIAE-00 DODE-00 NSAE-00 NSCE-00 SSO-00 ICAE-00 INRE-00 ACDA-12 AGRE-00 AID-05 CEA-01 CEQ-01 CG-00 COME-00 DLOS-09 DOTE-00 EPA-01 SOE-02 DOE-15 FMC-01 TRSE-00 H-01 INR-10 INT-05 JUSE-00 L-03 NSF-01 OES-07 OMB-01 PA-01 PM-05 SP-02 SS-15 /173 R

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APPROVED BY D/LOS:ABERLIND
TREASURY:HJUNZ
TREASURY:MBLAKE
EB/ICD:AKOBLER
EB/BP:HWINTER
L/EB:GLEHNER
L/OES:MEHOINKES

DOD/PTO:DHOINKES

S/P:HBLANEY

OES/OFA/OCA:TSCULLY

COMMERCE: TKRONMILLER (INFO)

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O 052159Z MAY 78 FM SECSTATE WASHDC TO USMISSION GENEVA IMMEDIATE

LIMITED OFFICIAL USE STATE 115413

FOR US DEL LOS

E.O. 11652: GDS

TAGS: PLOS

SUBJECT: LOS: TRANSFER OF TECHNOLOGY LIMITED OFFICIAL USE

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PAGE 02 STATE 115413

REF: GENEVA 5877, GENEVA 6350, GENEVA 6351

1. WE WOULD APPRECIATE CLARIFICATION OF US STATEMENT ON TECHNOLOGY TRANSFER OF APRIL 17 AND ITS RELATIONSHIP TO EC PROPOSAL ON CONCILIATION AS PRESENTED BY DANES. US DID NOT REFER TO CONCILIATION PROCEDURE BUT STATED THAT IT MAY BE

DESIRABLE TO PROVIDE IN THE CONTRACT AN OBLIGATION TO NEGOTIATE ARRANGEMENTS TO MAKE THE TECHNOLOGY AVAILABLE TO THE ENTERPRISE ON FAIR COMMERCIAL TERMS AND CONDITIONS.

2. THIS COULD BE INTERPRETED TO GO BEYOND AN OBLIGATION ONLY TO MAKE A GOOD FAITH EFFORT TO NEGOTIATE A REASONABLE TRANSFER AGREEMENT WITH THE ENTERPRISE. LIKEWISE, CONCILIATION AS ELABORATED BY THE DANES APPEARS TO GO BEYOND MAKING A RECOMMENDATION ON WHETHER THE MINER HAD MADE SUCH A GOOD FAITH EFFORT. EC PROPOSAL COULD BE READ TO FOCUS CONCILIATION ON REASONABLENESS OF SPECIFIC TERMS AND CONDITIONS INSTEAD, THEREBY ALLOWING FOR RECOMMENDATIONS ON THE MERITS OF TECHNOLOGY TRANSFER TERMS THEMSELVES. IF THIS INTERPRETATION PREVAILED, CONTRACTOR MIGHT FEEL PRESSED TO TRANSFER ON TERMS RECOMMENDED BY CONCILIATION COMMITTEE -- OR FACE

THE PROSPECT OF FUTURE RETALIATION BY THE AUTHORITY/ENTER-PRISE IN REFUSING TO APPROVE APPLICATIONS FOR ADDITIONAL SITES.

3. WOULD WELCOME YOUR COMMENTS ON ABOVE, EXPECIALLY INCLUDING WHAT INFERENCES MAY BE DRAWN BY OTHERS FROM OMISSION OF TRADITIONAL PHRASE QTE GOOD FAITH UNQTE FROM FORMULA PUT FORWARD BY US (PENULTIMATE PARA GENEVA 5877). WE STRESS THAT THERE IS CONCERN ON THIS POINT IN SOME AGENCIES HERE.

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4. ALTHOUGH IT IS SOMEWHAT PREMATURE TO RAISE MORE TECH-NICAL QUESTIONS AT THIS STAGE, IT MIGHT BE USEFUL TO BEGIN CONSIDERING WHETHER CONFERENCE PARTICIPANTS, IN DISCUSSING TECHNOLOGY TRANSFER. ARE ASSUMING ANY PARTICULAR TYPE OF ARRANGEMENT, SUCH AS SALE OR SOME VARIANT ON LICENSING. ADDITIONAL QUESTIONS FOR PRELIMINARY EXAMINATION ARE: (A) WHETHER THE TECHNOLOGY TO BE TRANSFERRED CONSISTS ONLY OF THOSE ASPECTS UNIQUELY RELEVANT TO DEEP SEABED MINING OR OTHER POSSIBLE APPLICATIONS OF THE TECHNOLOGY AS WELL, I.E. WHAT SHOULD BE OUR POSITION ON MULTIPLE USE TECHNOLOGY, IF ANY: (B) WHAT ACTIONS ARE ENVISAGED BY WHICH THE MINER FACILITATES THE TRANSFER OF TECHNOLOGY THAT HE DOES NOT OWN; AND (C) WHETHER FAILURE TO NEGOTIATE TRANSFER OR TO REACH AGREEMENT COULD BE CITED BY THE AUTHORITY AS GROUNDS FOR CANCELLING A SIGNED CONTRACT FOR EXPLORATION AND EX-PLOITATION. BELIEVE WE WILL WANT TO DISCUSS THESE ISSUES AND THEIR RELEVANCE TO THE NEGOTIATIONS BEFORE THE NEXT SESSION.

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PAGE 01 STATE 115413 ORIGIN EUR-12

INFO OCT-01 ISO-00 /013 R

66011

DRAFTED BY:EUR/RPE:RLAMANTIA APPROVED BY:EUR/RPE:PMCLEAN

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R 120415Z MAY 78

FM SECSTATE WASHDC

INFO AMEMBASSY BRUSSELS 8994

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USEEC

FOL STATE 115413 SENT ACTION GENEVA MAY 5, 1978 REPEATED TO YOU QUOTE

LIMITED OFFICIAL USE STATE 115413

FOR US DEL LOS

E.O. 11652: GDS

TAGS: PLOS

SUBJECT: LOS: TRANSFER OF TECHNOLOGY

REF: GENEVA 5877, GENEVA 6350, GENEVA 6351

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PAGE 02 STATE 115413

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